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| FORM PTO 1449 | SERIAL NO. 10/005,552 | CASE NO. 659/928 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | FILING DATE December 3, 2001 | GROUP ART UNIT 3761 |
| (use several sheets if necessary) | | APPLICANT(S): Paul T. Van Gompel et al. |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES NO |
|---------------------|--------------------|-------------------------|----------------|--------------------|-----------------------|
| DR | A1 | 412,412 | Australia | 11 | |
| DR | A2 | 579,829 | Australia | 11 | |
| DR | A3 | A-30274/84 | Australia | 11 | |
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| DR | A5 | 594,536 | Australia | 11 | |
| DR | A6 | A-12086/88 | Australia | 11 | |
| DR | A7 | A-19066/88 | Australia | 11 | |
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| DR | A17 | 2,023,577 | Canada | 11 | |
| DR | A18 | 0,091,412 B2 | EPO | 11 | |
| DR | A19 | 0,127,103 A2 | EPO | 11 | X |
| DR | A20 | 0,130,848 A2 | EPO | 11 | |
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| DR | A25 | 0,314,535 A1 | EPO | 11 | X |
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| EXAMINER <i>DR</i> | DATE CONSIDERED 7/24/03 |
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|---------------------|----------------|----------------------|--------------------|---------------------------------|--------------------|-----------------------|
| <i>DR</i> | A37 | 2,586,558 | 03/1987 | France | — | X |
| | A38 | 1,491,234 | 04/1969 | Germany | — | X |
| <i>DR</i> | A39 | 3,319,421 A1 | 11/1984 | Germany | — | X |
| <i>DR</i> | A40 | 40-36,391 | 12/1965 | Japan | — | X |
| <i>DR</i> | A41 | 46-12,554 | 05/1971 | Japan | — | X |
| <i>DR</i> | A42 | 48-43,500 | 06/1973 | Japan | — | X |
| <i>DR</i> | A43 | 48-59,395 | 07/1973 | Japan - English portion only | — | X (partial) |
| <i>DR</i> | A44 | 48-73,497 | 09/1973 | Japan | — | X |
| <i>DR</i> | A45 | 49-18,398 | 02/1974 | Japan | — | X |
| <i>DR</i> | A46 | 49-25,294 | 03/1974 | Japan | — | X |
| <i>DR</i> | A47 | 50-10,718 | 04/1975 | Japan | — | X |
| <i>DR</i> | A48 | 50-44,720 | 12/1975 | Japan | — | X |
| <i>DR</i> | A49 | 50-100,399 | 08/1975 | Japan | — | X |
| <i>DR</i> | A50 | 52-117,394 | 09/1977 | Japan | — | X |
| <i>DR</i> | A51 | 54-154,696 | 10/1979 | Japan | — | X |
| <i>DR</i> | A52 | 55-16,135 | 02/1980 | Japan | — | X |
| <i>DR</i> | A53 | 57-20,172 | 04/1982 | Japan | — | X |
| <i>DR</i> | A54 | 59-225,058 | 12/1984 | Japan | — | X |
| <i>DR</i> | A55 | 60-158,828 | 10/1985 | Japan | — | X |
| <i>DR</i> | A56 | 61-51,810 | 04/1986 | Japan | — | X |
| <i>DR</i> | A57 | 61-154,931 | 09/1986 | Japan | — | X |
| <i>DR</i> | A58 | 2,048,684 A | 12/1980 | United Kingdom | — | X |
| <i>DR</i> | A59 | 2,161,384 A | 01/1986 | United Kingdom | — | X |
| <i>DR</i> | A60 | WO92/07536 | 05/1992 | WTO | — | X |
| <i>DR</i> | A61 | WO92/07537 | 05/1992 | WTO | — | X |

| EXAMINER INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) | |
|---------------------|--|---|
| | (a) Publications: | |
| <i>DR</i> | A62 | Takatoshi Kobayashi, Utilization of water-absorbent polymers in hygienic field, <u>Zairyo Gijutsu</u> , 6(9), 361-365 (1988) (in Japanese, with translation). |
| | (b) Papers filed in the EPO relating to EP 590,675: | |
| <i>DR</i> | A63 | Notice of Opposition of McNeil-PPC, Inc. ("Opponent I"), to the grant of European Patent 0,590,675 / 93 115848.9, filed 26.02.98 (February 26, 1998). |

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| EXAMINER INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) | |
|---|--|--|
| DR | A64 | Notice of Opposition of SCA Mölnlycke AB ("Opponent II"), to the grant of European Patent 0,590,675 / 93 115848.9, filed 02.03.98 (March 2, 1998). |
| | A65 | Notice of Opposition of Paul Hartmann AG ("Opponent II"?), to the grant of European Patent 0,590,675 / 93 115848.9, filed 23.02.98 (February 23, 1998). (in German, no translation) ← |
| DR | A66 | Response to the Office Action of March 23, 1998, communicating Notices of Opposition of McNeil-PPC, Inc.; SCA Mölnlycke AB; and Paul Hartmann AG, to the grant of European Patent 0,590,675 / 93 115,848.9, filed October 1, 1998. |
| DR | A67 | Observations of the Opposition Division of the EPO regarding the Oppositions to the grant of European Patent 0,590,675 / 93 115,848.9, dated 22.03.99 (March 22, 1999). |
| DR | A68 | Minutes of the oral proceedings before the Opposition Division on 03.05.00 (May 3, 2000) regarding the Oppositions to the grant of European Patent 0,590,675 / 93 115,848.9. |
| DR | A69 | Interlocutory Decision of the Opposition Division of the EPO regarding the Oppositions to the grant of European Patent 0,590,675 / 93 115,848.9, maintaining the Patent with amended claims, dated 19.07.00 (July 19, 2000). |
| DR | A70 | Grounds of Appeal by SCA Mölnlycke AB (Opponent II) of EPO Decision of July 5, 2000 on the Oppositions to European Patent No. EP-B-595,047 filed 17 November 2000. |
| DR | A71 | Grounds of Appeal by McNeil-PPC, Inc. (Opponent I) of EPO Decision of July 5, 2000 on the Oppositions to European Patent No. EP-B-595,047 filed 20 November 2000. |
| DR | A72 | Response by Patentee to Grounds of Appeal of EPO Decision of July 5, 2000 on the Oppositions to European Patent No. EP-B-595,047 by Opponents I and II, filed May 23, 2001. |
| c) Papers filed in the EPO relating to EP 595,047: | | |
| DR | A73 | Notice of Opposition of McNeil-PPC, Inc., to the grant of European Patent 0,595,047 / 93 115757.2, filed January 30, 1998. |
| DR | A74 | Notice of Opposition of SCA Mölnlycke AB, to the grant of European Patent 0,595,047 / 93 115757.2, filed February 2, 1998. |
| DR | A75 | Response to the Office Action of April 16, 1998, communicating Notices of Opposition of McNeil-PPC, Inc. and SCA Mölnlycke AB, to the grant of European Patent 0,595,047 / 93 115757.2, filed August 20, 1998. |
| DR | A76 | Reply of McNeil-PPC, Inc., to the Response to the Office Action communicating Notices of Opposition of McNeil-PPC, Inc. and SCA Mölnlycke AB, to the grant of European Patent 0,595,047 / 93 115,757.2, filed January 13, 1999. |
| DR | A77 | Reply of SCA Mölnlycke AB, to the Response to the Office Action communicating Notices of Opposition of McNeil-PPC, Inc. and SCA Mölnlycke AB, to the grant of European Patent 0,595,047 / 93 115,757.2, filed January 15, 1999. |
| DR | A78 | Summons to attend oral proceedings, with observations by the Opposition Division, dated 01.12.99 (December 1, 1999). |
| DR | A79 | Submission by Patentee, with amended claims and auxiliary request, filed March 31, 2000. |
| DR | A80 | Minutes of the oral proceedings before the Opposition Division on 04.05.00 (May 4, 2000) regarding the Oppositions to the grant of European Patent 0,595,047 / 93 115,757.2. |

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| EXAMINER INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) | |
|---------------------|--|---|
| <i>DN</i> | A81 | European Patent Office Decision dated 05.07.00 (July 5, 2000) on the Oppositions to European Patent No. EP-B-595,047. |

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| APPLICANT(S): Paul T. Van Gompel et al. | | |

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | NAME | CLASS/ SUBCLASS | EFFECTIVE FILING DATE |
|---------------------|----|--|---------|--------------------|--------------------|---------------------------------|
| <i>DR</i> | A1 | 5,092,860 | 03/1992 | Pigneul | 604/380 | 09/21/1989 |
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| <i>DR</i> | A3 | 5,354,400 | 10/1994 | Lavash et al. | 156/227 | 10/01/1991 and 11/01/1989 |
| <i>DR</i> | A4 | 5,413,569 | 05/1995 | Yamamoto | 604/385.2 | 11/15/1993 |
| <i>DR</i> | A5 | 5,478,336 | 12/1995 | Pigneul | 604/385.1 | 10/08/1991 |
| <i>DR</i> | A6 | 5,921,975 | 07/1999 | Suzuki et al. | 604/385.2 | 12/27/1995 |
| <i>DR</i> | A7 | 6,013,062 | 01/2000 | Dilnik | 604/385.1 | 08/09/1995 |
| <i>DR</i> | A8 | 6,231,554 | 05/2001 | Menard | 604/385.01 | 09/27/1991 |
| <i>DR</i> | A9 | 6,387,084 | 05/2002 | Van Gompel et al. | 604/385.04 | 09/30/1992 |

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|---------------------|-----|--|---------|------------------|--------------------|---|
| <i>DR</i> | A10 | 0,127,103 A2 | 12/1984 | EPO (in German) | | Yes |
| <i>DR</i> | A11 | 0,347,319 A1 | 12/1989 | EPO (in French) | | Yes |
| <i>DR</i> | A12 | WO89/02729 | 04/1989 | WIPO (in French) | | No (but see U.S. Patent 5,478,336) |

| EXAMINER INITIAL | OTHER ART - NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small> | |
|---------------------|---|---|
| <i>DR</i> | A13 | Summons to Attend Oral Proceedings on June 16, 2003, in the Appeal on the Oppositions to European Patent No. 595,047, with preliminary assessment, mailed April 2, 2003, by EPO. |

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